There are several ways to transfer securities to Legacy Health:

1. Electronic transfer
2. Mailing
3. Hand delivery

1. ELECTRONIC TRANSFER
The most popular and efficient way to transfer securities to Legacy Health is by electronic transfer. If your stock is held at a brokerage firm, notify the broker that you wish to make a gift of securities to Legacy Health. Your broker will need written authorization from you before they can make the transfer. Be sure to tell them the name of the stock you want to transfer, the number of shares and where you would like your gift to be designated when it reaches Legacy Health. A Legacy Health stock transmittal form (available on the next page) can be used in place of a letter. Please mail or fax a copy of your authorization letter or the stock transmittal form to the following:

   Attn: Gift Processing
   Legacy Health - Philanthropy & Community Engagement
   PO Box 4484
   Portland, OR 97208
   Fax: 503-413-6447

2. MAILING STOCK CERTIFICATES
If your securities are not held at a broker then you can either mail or hand deliver the certificates directly to Legacy Health’s Office of Philanthropy. If mailing, send your unendorsed certificate(s) and a cover letter (including your name, address, and purpose of the gift) in an envelope. In a separate envelope, send a signed, signature guaranteed stock power. We strongly recommend using registered mail to forward your certificates and stock power. A stock power form can be obtained at your local bank or by calling Philanthropy & Community Engagement at 503.415.4700.

   NOTE: Please DO NOT mail endorsed certificates. Once the certificate is endorsed, it is legal tender and can be exchanged by anyone. It is strongly recommended certificates not be sent to a transfer agent for registering in Legacy Health’s name. This procedure is unnecessary and results in needless delays of the transfer.

3. HAND DELIVERY
Certificates may be given to a foundation gift officer or delivered to Philanthropy & Community Engagement at 2145 NW Overton, Portland, Oregon.

VALUATION OF STOCKS AND BONDS, OR GIFT CREDITING
For information regarding the valuation of stocks and bonds, or gift crediting, please contact Philanthropy & Community Engagement at 503.415.4700.
STOCK DONATION BROKERAGE TRANSFER AUTHORIZATION FORM (To be completed by the donor)

Date: __________

To:

Donor’s Financial Advisor/Broker/Planner Address

Phone Number/Fax Number

City State Zip

From:

Donor/Client’s Signature Print Name

Print Name

Donor/Client’s Signature Print Name

Print Name

Donor/Client’s Address

City State Zip

Donor/Client Phone (circle one) Work/Home/Cell

Email

RE: Account No.: ________________ Please transfer ___________ shares of ________________ to:

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<th>Dtc#</th>
<th>EIN #</th>
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My/our stock gift is: □ A NEW GIFT □ PAYMENT ON A CURRENT PLEDGE □ ANONYMOUS

I would like to have my shares sent via DTC to the following account at Umpqua Investments. Prior to transfer, please contact:

Courtney Riler at U.S. Bank Wealth Management: Phone: 503.697.3128 • Fax: 503.636.8002

To aid in proper gift acknowledgement/tax documentation, we ask your broker to please send a fax copy to:

The Office of Philanthropy & Community Engagement, Legacy Health. Fax: 503-413-6447